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|                                                                                                |                      |                        |                               |
|------------------------------------------------------------------------------------------------|----------------------|------------------------|-------------------------------|
| <b>TRANSMITTAL FORM</b><br><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 10/715,227             |                               |
|                                                                                                | Filing Date          | November 17, 2003      |                               |
|                                                                                                | First Named Inventor | Zhiping Yin            |                               |
|                                                                                                | Art Unit             | 2822                   |                               |
|                                                                                                | Examiner Name        | M. Lewis               |                               |
| Total Number of Pages in This Submission                                                       | 8                    | Attorney Docket Number | 2269-3657.5US (98-0265.05/US) |

| ENCLOSURES (check all that apply)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
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| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Response to Final Office Action dated January 4, 2007<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Reply to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Reply to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____<br><br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Other Enclosure(s)<br>(please identify below): |
| <b>Remarks</b><br><br>The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 AND 1.17 TO Deposit Account 20-1469 during pendency of this application.                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                  |          |        |
|--------------------------------------------|------------------|----------|--------|
| Firm                                       | TraskBritt, P.C. |          |        |
| Signature                                  |                  |          |        |
| Printed Name                               | Brick G. Power   |          |        |
| Date                                       | January 17, 2007 | Reg. No. | 38,581 |

| CERTIFICATE OF TRANSMISSION/MAILING                                                                                                                                                                                                                                                                           |              |      |                  |
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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |              |      |                  |
| Signature                                                                                                                                                                                                                                                                                                     |              |      |                  |
| Typed or printed name                                                                                                                                                                                                                                                                                         | Erika Gandre | Date | January 17, 2007 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Zhiping Yin

**Serial No.:** 10/715,227

**Filed:** November 17, 2003

**For:** SEMICONDUCTOR DEVICES AND  
STRUCTURES INCLUDING  
SUBSTANTIALLY DEFECT-FREE AND  
CONTAMINANT-FREE ANTI-  
REFLECTIVE COATINGS (as amended)

**Confirmation No.:** 5021

**Examiner:** M. Lewis

**Group Art Unit:** 2822

**Attorney Docket No.:** 2269-3657.5US  
(98-0265.05/US)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 17, 2007  
Date

Signature

Erika Gandre

Name (Type/Print)

**RESPONSE TO FINAL OFFICE ACTION**

Mail Stop AF  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Examiner:**

This Response follows the final Office Action of January 4, 2007, the shortened statutory period for response to which expires on April 4, 2007. This Response is being submitted within two months of the mailing date of the final Office Action.